

Attorney Docket No. 314572-105

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Franklin, et al.  
Serial No.: 09/938,269  
Filed: August 23, 2001  
For: Treatment of Trauma

:  
: Group Art Unit No.: 1614  
: Examiner: Unknown

Gp/1614  
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U.S. Patent and Trademark Office  
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I hereby certify that this correspondence is being deposited with sufficient postage with the United States Postal Service as first-class mail in an envelope addressed to: U.S. Patent and Trademark Office, Box Sequence, P.O. Box 2327, Arlington, VA 22202 on May 29, 2002.

By: Sally B. Hansen  
Sally B. Hansen

TRANSMITTAL LETTER

Transmitted herewith are the following documents in the above-identified application:

- ☒ Response to Notice to Comply with Requirements for Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures and copy of Notice;
- ☒ CRF diskette and paper copy.
- ☒ Acknowledgment postcard.

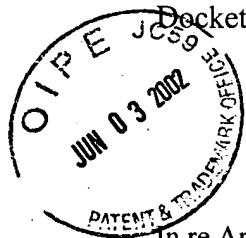
Applicants request that any additional fees required by these papers be charged, or any overpayment be credited, to Deposit Account No. 04-0480.

Respectfully submitted,

Arthur E. Jackson

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Docket No. 314572-105

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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In re Application of: Franklin, et. al.  
Serial No.: 09/938,269  
Filed: August 23, 2001  
For: Treatment of Trauma

Commissioner for Patents  
Washington, DC 20231

**RESPONSE TO NOTIFICATION TO COMPLY WITH REQUIREMENTS  
FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE  
AND/OR AMINO ACID SEQUENCE DISCLOSURES**

Sir:

This is in reply to the communication mailed April 3, 2002 from the Patent Office, entitled "Notice to Comply with Requirements for Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures." A copy of the Notice is enclosed.

Submitted herewith is the "Sequence Listing" in computer readable form in accordance with the requirements of 37 CFR §§ 1.821-1.825. Please replace the Sequence Listing with the enclosed substituted Sequence Listing.

**STATEMENT**

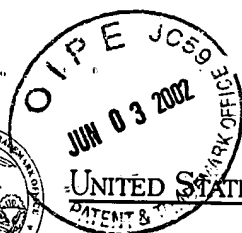
Applicant hereby states that the enclosed CRF diskette and the paper copy submitted herewith are the same and contains no new matter.

Respectfully submitted,

Arthur E. Jackson  
Registration No. 34,354  
Attorney for Applicants

Date: May 29, 2002

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WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/938,269	08/23/2001	Richard Franklin	314572-105

25561  
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CONFIRMATION NO. 1197

FORMALITIES LETTER



\*OC000000007776446\*

Date Mailed: 04/03/2002

### NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

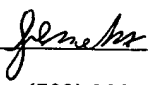
Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

- This application does not contain a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). Applicant must provide such statement. If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000).
- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

*A copy of this notice **MUST** be returned with the reply.*

  
\_\_\_\_\_  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE